



**Audit of Third Party Delivery Arrangements
Management Response and Action Plan
November 24, 2009**

Recommendation	Action Plan	Responsibility	Expected Completion Date
<p>1. WD should strengthen regional practices for monitoring compliance to contribution agreements. In addition, WD headquarters should engage in risk-based active monitoring of regional practices.</p>	<p>Agree. The Policy, Planning & Performance Measurement branch will work with regions to implement best practices for monitoring compliance to contribution agreements and develop a panwestern standard for consistent practices. Corporate Policy will use these as the basis for a risk-based approach to monitoring regional practices.</p>	<p>Executive Director, Policy, Planning & Performance Measurement Regional Assistant Deputy Ministers</p>	<p>August 2010</p>
<p>2. To enhance regional oversight, regions should establish a formal performance reporting process on the success of programs and projects delivered by third parties and on any significant issues identified. This process should include an annual report to regional senior management.</p>	<p>Agree. The Policy, Planning & Performance Measurement branch will work with regions to review best practices for reporting on programs and projects delivered by third parties and develop panwestern standards for consistent reporting.</p>	<p>Regional Assistant Deputy Ministers Executive Director, Policy, Planning & Performance Measurement</p>	<p>August 2010</p>
<p>3. WD should standardize the financial statement review processes and should develop standard financial statement and loan fund review templates.</p>	<p>Agree. The Policy, Planning & Performance Measurement branch will work with regions to review best practices for financial statement review and develop standard financial statement and loan fund review templates.</p>	<p>Executive Director, Policy, Planning & Performance Measurement Regional Assistant Deputy Ministers</p>	<p>August 2010</p>



Recommendation	Action Plan	Responsibility	Expected Completion Date
4. WD should develop risk-based intervention guidelines and procedures to assist regional program officers in their dealings with third parties.	Agree. The Policy, Planning & Performance Measurement branch will work with regions to develop risk-based intervention guidelines and procedures to assist regional program officers in their dealings with third parties.	Executive Director, Policy, Planning & Performance Measurement Regional Assistant Deputy Ministers	August 2010